

**COURSE AGENDA****DAY ONE: Basic Bank Regulation, Structure and Capital/Liquidity**

Start Time	Tab	Session	Faculty Member
8:00		Welcome and Introductions; Summary of Course	K. Sparks
8:30	A	Overview of Bank Regulatory Structure and Law	K. Sparks
9:15	B	Recurring Themes in Bank Regulation	T. McAniff
10:15		Break	
10:30	C	Building the Banking Organization: Structural Choices and Powers of Banks and Bank Holding Companies	J. Buchman and H. Conroy
1:00		Luncheon	M. Young
2:15	D	Understanding a Bank through its Financial Statements	K. Sparks
3:00	E	Capital and Liquidity Requirements	H. Conroy
4:15		Break	
4:30	F	The Regulatory Response to the Global Financial Crisis	Buchman and faculty
5:30		Reception	

**DAY TWO: Prudential Regulation and Governance**

8:00	G	Lending Limits, Insider Limits and other Restraints on Lending	P. Krishnamurthy
9:15	H	Affiliate Transactions	J. Newell
10:30		Break	
10:45	I	Deposit Products and Issues	S. Kelsey
11:45	J	Corporate Governance	C. Orme
12:45		Luncheon	
1:45	K	Safety & Soundness and Risk Management	K. Sparks
3:00	L	Swaps, Derivatives and Hedging as an Exemplar	J. Newell
4:15		Break	
4:30	M	FinTech as an Exemplar	Paul Clark
5:30		Adjourn	

**DAY THREE: Supervision, Troubled Banks and Ethics**

8:00	N	Examination and Enforcement	C. Orme
9:15	O	Implications of Deposit Insurance, Bank Failure and Orderly Resolution	S. Kelsey
10:30		Break	
10:45	P	Ethical Issues Facing Lawyers Representing Banking Institutions	T. McAniff
11:45	Q	Ethical Issues Squared: The Compliance Conundrum	Faculty
12:45		Adjourn	

**DAY THREE: FinTech Legal**

12:45-1:30		Lunch & Keynote Remarks: Regulatory Perspectives on Banking & FinTech	B. Knickerboxer
1:30-2:30		Banking Regulation and Regulators: The Basics	J. Newell
2:30-3:30		The Devil You Know: Working with Lawyers in a Regulated Industry	P. Clark
3:30-3:45		Break	
3:45-4:45		Regulating Consequences of Being a Bank Vendor	G. Tsai
4:45-5:45		FinTech Bank Charters and Other Hot Topics	M. Nonaka
5:45-6:30		Perspectives from FinTech	R. Elmer