**Professor Bartlett**

**Securities Regulation – Spring 2014**

**First Assignment**

Welcome to Securities Regulation. The casebook for this course is Stephen Choi & A.C. Pritchard, *Securities Regulation: Cases and Analysis* (3d. ed. 2012). In addition, we will also be using the Choi & Pritchard statutory supplement, *Securities Regulation: Statutory Supplement* (2013 ed). The class meets on Mondays, Tuesdays, and Wednesdays from 10:00 to 11:10 in Room 132.

The assignments for the first week of class are as follows:

Monday, January 6:

*Casebook*: pp. 1-17 (to “Investment Decisions”)

Tuesday, January 7:

*Casebook*: p. 22 (“Who Provides Information to Investors?”) to p.47 (end of chapter 1) & p.48 (start of chapter 2) to p.50 (“Forward-Looking Information”) + skim p. 17 (“Investment Decisions”) to p.22 (“Who Provides Information to Investors?”)

*Statutory Supplement*: Exchange Act Rules 10b-5 & 12b-20

Page 1 of the Casebook Supplement (located in the Supplemental Materials Folder on the bCourse website).

Wednesday, January 8:

*Casebook*: pp. 50 (“Forward-Looking Information”) to p.70 (end of *In re Merck & Co*) + Hypothetical 3 for chapter 2 on p. 78

I look forward to seeing you on Monday.