

STAVROS GADINIS

690 SIMON HALL, BERKELEY LAW SCHOOL
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ACADEMIC APPOINTMENT

Berkeley Law School

Professor of Law, Summer 2016 – Present

Assistant Professor, Fall 2010 - Summer 2016

- Courses taught: Business Associations (4 credits), Securities Regulation (4 credits), International Business Transactions (3 credits), International Finance Seminar (2 credits), Law & Economics Workshop (2 credits)

PREVIOUS ACADEMIC APPOINTMENTS

University of Chicago Law School

Visiting Assistant Professor of Law (Fall 2014)

- Courses taught: Securities Regulation (3 credits)

Harvard Law School

Bruce W. Nichols Visiting Assistant Professor of Law, Spring 2014

- Courses taught: Securities Regulation (3 credits)

EDUCATION

Harvard Law School

S.J.D., June 2010

- Doctoral Thesis: *Policymaking and Enforcement in International Finance*
- Lecturer in Law (2009-2010)(Courses taught: Securities Regulation (3 credits))
- Post-Graduate Fellow (2008-2010)
- John M. Olin Fellow (2006-2009), Onassis Foundation Scholar
- Byse Fellow: Designed and taught a seminar on major theories of cross-border convergence in substantive law

Harvard Law School

LL.M., June 2005

- *Concentration in International Finance*
- Fulbright Scholar
- LL.M. paper: *Comparing Regulation NMS and the EU MiFID Directive (A+)*

University of Cambridge (U.K.)

LL.M., June 2000

- Best Business Law Student in the UK: *Winner of Financial Times/Freshfields Prize (ranked first in the UK among 160 participants, top 12 in the E.U among 1500 participants)*

Aristotle University of Thessaloniki (Greece)

First Law Degree, September 1997

- GPA: 9.07 (equivalent to summa cum laude) (ranked 2nd in a class of 183)
- 4 National Prizes (for ranking in the top 2% of the class for 1993-1997)

PUBLICATIONS

Stakeholder Governance and The Pandemic (with Amelia Miazad), JOURNAL OF CORPORATION LAW (forthcoming 2021)

Corporate Law and Social Risk (with Amelia Miazad), 73 VANDERBILT LAW REVIEW 1401 (2020)

The Hidden Power of Compliance (with Amelia Miazad), 103 MINNESOTA LAW REVIEW 2135 (2019)

International Compliance Regimes, in THE CORPORATE CONTRACT IN CHANGING TIMES: IS THE LAW KEEPING UP? (Randall Thomas and Steven Davidoff Solomon, eds) (Chicago University Press 2019)

Unintended Agency Problems: How International Bureaucracies are Built and Empowered (with Anu Bradford and Katerina Linos), 57 VIRGINIA JOURNAL OF INTERNATIONAL LAW 159 (2017)

Collaborative Gatekeepers (with Colby Mangels), 73 WASHINGTON AND LEE LAW REVIEW 797 (2016)

Three Pathways to Global Standards: Private, Regulator, and Ministry Networks, 109 AMERICAN JOURNAL OF INTERNATIONAL LAW 1 (2015)

From Independence to Politics in Financial Regulation, 101 CALIFORNIA LAW REVIEW 327 (2013)
- Cited by the Supreme Court at *Seila Law v. CFPB*, 140 S.Ct. 2183 (2019)

The Financial Stability Board: The New Politics of International Financial Regulation, 48 TEXAS INTERNATIONAL LAW JOURNAL 157 (2013)

The SEC and the Financial Industry: Evidence from Enforcement Against Broker-Dealers, 67 BUSINESS LAWYER 679 (2012)

Can Company Disclosures Discipline State-Appointed Managers? Evidence from Greek Privatizations, 13 THEORETICAL INQUIRIES IN LAW 525 (2012)

The Politics of Competition in International Financial Regulation, 49 HARVARD INTERNATIONAL LAW JOURNAL 447 (2008)

Market Structure for Institutional Investors: Comparing the U.S. and the E.U. Regimes, 3 VIRGINIA LAW & BUSINESS REVIEW 311 (2008)

Markets as Regulators: A Survey, 80 SOUTHERN CALIFORNIA LAW REVIEW 1239 (2007) (with Howell E. Jackson)

WORKS IN PROGRESS

Society in the Boardroom (Book in Contract with Cambridge University Press, expected July 2022 – with Amelia Miazad)

How The EU Taxonomy Redefines Global Corporate Governance (with Thom Wetzer)

PRESENTATIONS

- “Stakeholder Governance and The Pandemic” presented at the National Business Law Scholars Conference, U. Tennessee Law School (June 2021)
- “Stakeholder Governance and The Pandemic” presented at the Law and Economics Workshops, U. Texas Law School (April 2021)
- “Stakeholder Governance and The Pandemic” presented at Workshop on Organizations and Social Impact (co-organized with Ofer Eldar, Duke Law School, November 2020)
- “Corporate Law and Social Risk” presented at Harvard Law School IOSCO Securities Regulators Forum (December 2019)
- “Corporate Law and Social Risk” presented at the Vanderbilt Law Faculty Workshop (November 2019)
- “Corporate Law and Social Risk” presented at the Berkeley Law Faculty Workshop (September 2019)
- “The Hidden Power of Compliance” presented at the A.U.TH. Law School Corporate and Commercial Law Workshop (December 2018)
- “The Hidden Power of Compliance” presented at the Berkeley Sustainability Forum Academic Workshop (November 2018)
- “The Hidden Power of Compliance” presented at the Oxford Law and Finance Workshop (October 2018)
- “The Hidden Power of Compliance” presented at the Berkeley Business Law Retreat (May 2018)
- “The Basel Discord” presented at the 2017 American Law and Economics Association Annual Meeting, at Yale Law School (May 2017)
- “Basel III: Softer Rules, Harder Institutions” presented at U.S. – Asia Comparative Corporate Law Conference, organized at Berkeley Law School (February 2017)
- “Basel III: Softer Rules, Harder Institutions” presented at the Sokol Colloquium on Current Issues in Sovereign Debt and International Financial Regulation (December 2016)
- “Basel III: Softer Rules, Harder Institutions” presented at the American Society of International Law Mid-Year Research Forum, University of Washington School of Law (November 2016)
- “Basel III: Softer Rules, Harder Institutions” presented at the Berkeley Law Faculty Workshop (October 2016)
- “Basel III: Softer Rules, Harder Institutions” presented at the Biennial Conference of the Private International Economic Law Group of the American Society of International Law, Georgetown Law Center (September 2016)
- “From Independence to Politics” presented at the Greek Corporate Law Research Association Annual Conference, Sounio (June 2016)
- “Collaborative Gatekeepers” presented at the *American Society of Comparative Law Younger Comparativists Committee Second Workshop on Comparative Business and Financial Law*, UC Davis (February 2016)
- “Three Pathways to Global Standards: Private, Regulator, and Ministry Networks” presented at a joint session of the Hellenic Bank Association Speaker Series and the Athens Law School Speaker Series on the Sovereign Debt Crisis, Athens, Greece (December 2015)
- “The Joint Problem International Organizations Face” (with Anu Bradford and Katerina Linos) presented at the *American Society of International Law, European Society of International*

Law, and Max Planck Institution Workshop on the Future of Transatlantic Governance in the Age of BRICS, Heidelberg, Germany (December 2015)

“Three Pathways to Global Standards: Private, Regulator, and Ministry Networks” presented at the *Sungkyunkwan University Business School*, Seoul, South Korea (November 2015)

“Three Pathways to Global Standards: Private, Regulator, and Ministry Networks” presented at the *Institute for Monetary and Financial Stability, House of Finance*, Frankfurt, Germany (November 2015)

“Three Pathways to Global Standards: Private, Regulator, and Ministry Networks” presented at the *Center for Law and Economics Seminar, ETH Zurich*, Zurich, Switzerland (November 2015)

“Three Pathways to Global Standards: Private, Regulator, and Ministry Networks” presented at the *Oxford Law and Finance Business Law Workshop*, Oxford, U.K. (November 2015)

“Three Pathways to Global Standards: Private, Regulator, and Ministry Networks” presented at the *Nuffield College Mini-Workshop on International Law and International Relations*, Oxford, U.K. (October 2015)

“Three Pathways to Global Standards: Private, Regulator, and Ministry Networks” presented at the *Center for Corporate and Commercial Law, Faculty of Law, University of Cambridge*, Cambridge, U.K. (October 2015)

“Collaborative Gatekeepers” presented at the *Cornell BLI Conference: Rethinking the Public-Private Balance in Markets and Financial Regulation*, New York (October 2015)

“Three Pathways to Global Standards: Private, Regulator, and Ministry Networks” presented at the *Journal of Financial Regulation and Institute for International Economic Law Conference on Extraterritoriality in Finance, Georgetown University Law Center*, Washington, D.C. (June 2015)

“Three Pathways to Global Standards: Private, Regulator, and Ministry Networks” presented at the *Faculty Workshop, Seoul National University Law School*, Seoul, Korea (November 2014)

“Three Pathways to Global Standards: Private, Regulator, and Ministry Networks” presented at the *American Society of International Law Mid-Year Research Forum, Northwestern Law School* (November 2014)

“Three Pathways to Global Standards: Private, Regulator, and Ministry Networks” presented at the *Conference on Empirical Legal Studies, Berkeley Law School* (November 2014)

“Three Pathways to Global Standards: Private, Regulator, and Ministry Networks” presented at the *Faculty Workshop, University of Chicago Law School* (October 2014)

“Global Technocrats: The Spread of International Standards in Accounting (IFRS), Cross-Border Fraud (IOSCO), and Money-Laundering (FATF)” presented at the *Faculty Workshop, U.C.L.A. Law School* (October 2014)

“Global Technocrats: The Spread of International Standards in Accounting (IFRS), Cross-Border Fraud (IOSCO), and Money-Laundering (FATF)” presented at the *Brooklyn International Business Roundtable, Brooklyn Law School* (October 2014)

“How to Get Private Firms to Cooperate in Enforcing Financial Regulation” presented at the *Faculty Retreat, Berkeley Law School* (August 2014)

“Global Technocrats: The Spread of International Standards in Accounting (IFRS), Cross-Border Fraud (IOSCO), and Money-Laundering (FATF)” presented at the *Office of International Affairs Brown-Bag Series*, U.S. Securities and Exchange Commission (May 2014)

“Global Technocrats: The Spread of International Standards in Accounting (IFRS), Cross-Border Fraud (IOSCO), and Money-Laundering (FATF)” presented at the *Harvard Law School Faculty Workshop*, Harvard Law School (April 2014)

“From Independence to Politics in Financial Regulation” presented at the *Georgetown Journal of International Law Symposium on International Financial Regulation in the Post-Crisis Era*, Georgetown Law School (April 2014)

“Global Technocrats: The Spread of International Standards in Accounting (IFRS), Cross-Border Fraud (IOSCO), and Money-Laundering (FATF)” presented at the *International Finance Workshop*, Tulane Law School (March 2014)

“Global Technocrats: The Spread of International Standards in Accounting (IFRS), Cross-Border Fraud (IOSCO), and Money-Laundering (FATF)” presented at the *American Society of International Law, International Organizations Workshop*, Arizona State College of Law (February 2014)

“Global Technocrats: The Spread of International Standards in Accounting (IFRS), Cross-Border Fraud (IOSCO), and Money-Laundering (FATF)” presented at the *American Society of International Law, International Economic Law Group*, University of Pennsylvania Law School (November 2013)

“Global Technocrats: The Spread of International Standards in Accounting (IFRS), Cross-Border Fraud (IOSCO), and Money-Laundering (FATF)” presented at the *Berkeley Faculty Workshop* (October 2013)

“Global Technocrats: The Spread of International Standards in Accounting (IFRS), Cross-Border Fraud (IOSCO), and Money-Laundering (FATF)” presented at the *Comparative Politics Workshop*, Berkeley Department of Political Science (October 2013)

“Global Technocrats: The Spread of International Standards in Accounting (IFRS), Cross-Border Fraud (IOSCO), and Money-Laundering (FATF)” presented at the *Junior Faculty Working Group*, Berkeley Law School (October 2013)

International Financial Regulation Workshop, Berkeley Law School: Organized Workshop with funding from Berkeley EU Center of Excellence, the Miller Foundation, and the Berkeley Center for Law, Business, and the Economy, with 20 invitees from the U.S. and Europe (April 2013)

“From Independence to Politics in Financial Regulation” presented at the *Sixth Annual Transatlantic Law Forum (TLF)*, George Mason School of Law (February 2013)

“From Independence to Politics in Financial Regulation” presented at the *European Union Center of Excellence*, University of California, Berkeley (November 2012)

“From Independence to Politics in Financial Regulation” presented at the *Conference on Empirical Legal Studies (CELS)*, Stanford Law School (November 2012)

“From Independence to Politics in Financial Regulation” presented at the *Comparative Law and Economics Forum*, Berkeley Law School (June 2012)

“The Financial Stability Board: The New Politics of International Financial Regulation” presented at the *Texas International Finance Symposium*, University of Texas Law School (February 2012)

“From Independence to Politics in Financial Regulation” presented at the *Duke Law Journal Administrative Law Symposium*, Duke Law School (February 2012)

“From Independence to Politics in Financial Regulation” presented at the *American Association of Law Schools Annual Meeting*, Washington D.C. (January 2012)

“From Independence to Politics in Financial Regulation” presented at the *Junior Faculty Working Group*, Berkeley Law School (July 2011)

“From Independence to Politics in Financial Regulation” presented at the *International Financial Regulation Workshop*, Wharton School of Business (July 2011)

“Can Company Disclosures Discipline State-Appointed Managers? Evidence from Greek Privatizations” presented at the conference on “*The State as a Shareholder*,” Tel Aviv University Faculty of Law (June 2011)

“From Independence to Politics in Financial Regulation” presented at the *U.S. Department of the Treasury* (December 2010)

“The SEC and the Financial Industry: Evidence from Enforcement Against Broker-Dealers” presented at the *Corporate Governance Workshop*, New York University School of Law (March 2010)

“The SEC and the Financial Industry: Evidence from Enforcement Against Broker-Dealers” presented at the *Conference on Empirical Legal Studies (CELS)*, Cornell Law School (October 2008)

“The SEC and the Financial Industry: Evidence from Enforcement Against Broker-Dealers” presented at the *American Law & Economics Association Annual Meeting (ALEA)*, Columbia Law School (May 2008)

“The SEC and the Financial Industry: Evidence from Enforcement Against Broker-Dealers” presented at the *Law and Economics Workshop*, Harvard Law School (November 2007)

“Market Structure for Institutional Investors: Comparing the U.S. and the E.U. Regimes” presented at the *European Union Studies Association Biennial Conference (EUSA)*, Montreal (May 2007)

“Market Structure for Institutional Investors: Comparing the U.S. and the E.U. Regimes” presented at the *International Finance Workshop*, Harvard Law School (May 2005)

SIGNIFICANT HONORS AND AWARDS

Jean Monnet Center of Excellence Grant (2018)

- Awarded for a project focusing on corporations facing a corruption crisis

Hellmand Fellowship Award (2014)

- Awarded for a study on the spread of global anti-money laundering standards

SELECT EXECUTIVE EDUCATION AND OUTREACH PROGRAMS

Berkeley Law Sustainability Week (2018)

- Co-organized an academic workshop and a symposium on institutional investors and sustainability, with the participation of Blackrock, State Street, and BNY Mellon, as part of a 4-day Sustainability Week event

Berkeley Law Compliance Academy (2018)

- Co-designed a 6-day program covering Compliance and Fiduciary Duties, Investigations, Monitorships, Personal Liability for Compliance Professionals, FCPA, Trade and Sanctions, Anti-Money Laundering Laws, Human Rights, and Privacy, alongside inside counsel and other practitioners

Harvard Law School and Kuwait Capital Markets Authority (2017- 2021)

- 4-day program covering Securities Enforcement, Extraterritoriality, Insider Trading, European Capital Markets, FCPA, Anti-Money Laundering Laws

OTHER PROFESSIONAL EXPERIENCE

U.S. Securities and Exchange Commission

Research Specialist, Summer 2006

- Advised on regulatory issues relating to mergers between U.S. and European Stock Exchanges. Prepared briefings and participated in negotiations between the U.S. Treasury, Federal Reserve, and Securities and Exchange Commission and the European Commission. Advised on constitutional issues of cross-border anti-fraud enforcement between the U.S. and Canada.

Norton Rose, London (U.K.)

Associate, International Securities Group, Sep. 2003 – Dec. 2003

- Advised on securitizations of assets located in the UK, Germany, France, Portugal, the Netherlands and Greece, focusing on comparative property law, comparative bankruptcy law, and enforcement of judgments; also advised on international derivatives transactions.

Norton Rose, Athens (Greece)

Associate, Corporate and Banking Team, Jan. 2001 – Jul. 2004

- Advised major international investment banks and the Greek Government on important privatizations (including utility companies, telecommunications companies, state-owned retail banks, state lotteries); advised on cross-border M&A and securities offerings.