

“Green” Technology and IP: A Diverse Landscape

Arti K. Rai, Duke Law School

Collaborators:

Chatham House (UK)

Richard Newell, Duke

Jerome Reichman, Duke

Jonathan Wiener, Duke

Background problem of “double” market failure

- Positive externalities of innovation (partly addressed through IP)
- Price signals (even as bolstered through IP) don't reflect carbon externalities (up to \$80/ton) (vs. \$2/ton)
- So IP only a (small) part of problem
- In virtually all green sectors, government “push” through R&D subsidies
- But see example of Integrated Gasification Combine Cycle (IGCC) for coal
 - Lots of patents (several hundred that mention IGCC)
 - Lots of push (in U.S., half the capital cost of 2 facilities)
 - But no further adoption

Diverse Technologies

- Power generation (wind, solar, biofuels, IGCC (with and without CCS))
- Industrial processes (e.g. less carbon intensive products of cement)
- Energy efficient consumer goods (e.g. lightbulbs)
- Transportation: hybrid cars; fuel cells
- Building efficiency

At Different Stages

- **“Theoretical” R&D**
 - 4G solar (nanotech)
 - 3G biofuels (synbio)
- **“Applied” R&D**
 - thin-film solar
 - 2G biofuels (enzyme-based cellulosic biofuels)
- **“Commercial” stage**
 - low-carbon cement production
 - IGCC without CCS
 - low-carbon consumer goods
 - hybrid cars

Diversity even within three early-stage “power generation” technologies

- Thin-film solar
- 2nd generation biofuels
- Third generation biofuels

Thin-Film Solar

- First generation: silicon-based
- 2nd generation: consists of improvements that result in cheaper PV cells (thin films of semiconductors applied to glass)
- 4 or 5 firms hold majority of market
- Each firm uses slightly different technology (which is subject of patent portfolio)
- Unclear whether there are informal patterns of x-licensing (like semiconductors)
- Will patent portfolios pose barriers to entry for new entrants?

2nd generation biofuels (better enzymes for turning cellulose into fuel)

- Some similarities with medical biotech
- Partnerships between small (patent-based) R&D firms and large product makers
 - Diversa/Cellulol **with** Syngenta/Dupont/Khosla Ventures
 - Iogen **with** Shell, Goldman Sachs
 - Genencor **with** Cargill/Dow/Khosla Ventures

Economic Structure

- Different from biomedicine
- End product (fuel) may not be patentable
- So upstream patents (e.g. on enzyme) may be more important for market differentiation
- OTOH, FDA regulatory hurdles won't be there either

3rd generation biofuels (syn bio)

- Micro-organisms that use DNA “parts” (e.g. promoters, repressors, inverters) assembled into “systems” to produce different chemicals, including fuels
- Systems put into micro-organism “chasses”

Create an account or log in

article discussion edit history

Registry of Standard Biological Parts



to part
a_
igation
ain Page
rowse Part Types
GEM 2007 Wiki
community portal
Recent changes
Recent part
changes

ources
User Accounts
Add a Part
Part Searches
DNA Repositories
Sequence Analysis
Assembly Tool
Help

ch
Go Search



■ Browse Parts by Type



- iGEM 2007 Parts by Team
- iGEM 2006 Parts by Team
 - Parts by Lab



- iGEM 2007 Wiki
- iGEM 2006 Wiki

Registry Toolbox



Add a part



Search Parts
More...



DNA
Repositories



Sequence
Analysis



Featured Parts



Help & Documentation



Users & Groups

Registry Community

- Participate in the Registry Logo Redesign contest! more info [here!](#)
- For information about iGEM 2007, see www.igem2007.com
- We have a new [tutorial for starting teams](#) in the [Help](#) section
- iGEM 2007 team parts have new [parts sandboxes](#) and [favorites](#) available



to part
a_

gation
Main Page
Browse Part Types
GEM Wiki
Community portal
Recent changes
Recent part
changes

ources
User Accounts
Add a Part
Part Searches
DNA Repositories
Sequence Analysis
Assembly Tool
Help

ch
Go Search

article

Regulatory Regions (Promoters)

Available repressible regulators (normally ON) -?-

[Show 0 more parts](#)

[Edit](#)

- ?-	Name	Description	Direction	Control -?-	Output Low High	Length
A W	BBa_J14032	promoter P(Lac) IQ	Forward	lacI		37
A W	BBa_R0040	promoter (tetR, negative)	Forward	aTc, tetracycline		54
A W	BBa_R0051	promoter (lambda cl regulated)	Forward	lambda cl		49

Available inducible regulators (normally OFF) -?-

[Show 0 more parts](#)

[Edit](#)

- ?-	Name	Description	Direction	Control -?-	Output Low High	Length
A	BBa_J12007	Modified lambda P _{rm} promoter (OR-3 obliterated)	Forward	cl		82
A	BBa_R0062	Promoter (luxR & HSL regulated -- lux pR)	Forward	luxR, HSL		55
A	BBa_R0079	Promoter (LasR & PAI regulated)	Forward	PAI		157
A	BBa_R0080	Promoter (AraC regulated)	Forward	araC		149

Available other regulators

[Show 272 more parts](#)

[Edit](#)

- ?-	Name	Description	Direction	Control -?-	Output Low High	Length
A W	BBa_J0500	Inducible pBad/araC	Forward	araC, arabinose		1210
A W	BBa_J13453	Pbad promoter				130
A W	BBa_J13002	TetR repressed POPS/RIPS generator	Forward	ATc		74
A W	BBa_J13023	3OC6HSL+LuxR dependent POPS/RIPS generator				117
A W	BBa_J23100	constitutive promoter family member				35
A W	BBa_J23101	constitutive promoter family member				35
A W	BBa_J23102	constitutive promoter family member				35
A W	BBa_J23103	constitutive promoter family member				35
A W	BBa_J23104	constitutive promoter family member				35
A W	BBa_J23105	constitutive promoter family member				35
A W	BBa_J23106	constitutive promoter family member				35
A W	BBa_J23107	constitutive promoter family member				35



- to part
- a_
- gation
- ain Page
- rowse Part Types
- GEM 2007 Wiki
- community portal
- Recent changes
- Recent part
- changes

- ources
- User Accounts
- dd a Part
- art Searches
- NA Repositories
- equency Analysis
- sembly Tool
- help

ch

Go Search

article discussion edit history

View the content page [alt-c]



These parts and devices perform particularly interesting functions. Check them out!

- cell-cell-signaling** - These parts enable communication between cells in a population
- conjugation parts** - These parts relate to the passing of information through plasmid transfer in a process known as conjugation between bacterial cells
- fluorescent proteins** - These proteins are often used to visually detect the state of a cell or device.
- light sensor** - These parts enable you to take a **coliroid** or bacterial photograph.
- RNA-lock-and-key** - RNA based transcription control.
- cell death**
- Tools to facilitate cloning** - These plasmids aid the construction of basic Biobrick parts and their assembly

Coming soon

- chemotaxis**
- temperature sensors**
- flipping dna**
- parameter measurement**



Different Types of Patents

- Different types of patents (apparently important for VC funding)
 - Patents on “part” components (inc. ordinary gene sequence patents) (e.g. Sangamo)
 - Patents on large scale gene synthesis (Codon Devices, Blue Heron)
 - Patents on “chasses” (Craig Venter of Synthetic Genomics)

Patents on Parts

- Role may depend on whether standardization of parts is achieved
- If achieved, secret infringement (currently a routine strategy for avoiding thickets by firms that produce products in biopharm) may not be possible

Patents on Gene Synthesis: Analogies to Open Source?

- Some companies that have strong patent positions in large scale gene synthesis (e.g. Codon Devices) want “parts” to be free available
- The more demand for “parts,” the more demand for the gene synthesis tech
- Lawsuits over gene synthesis patents (Codon vs. Blue Heron)
- Monopolist in gene synthesis? (exceptions to one monopoly profit principle)

Patents on Chasses

- Patent app on “minimal genome” chassis (Craig Venter)
- This particular chassis may not work
- But a good patent on a chassis might be similar to a patent on an operating system (with concomitant push towards standardization)
- Social welfare effects?

Conclusion

- Very complex economics
- Huge diversity of tech
- Even within early-stage biotech, new puzzles and diversity