

## Berkeley Center for Law, Business and the Economy

### Proposed U.S. Securities and SEC Legislation

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Bill Number	Title	Author	Purpose	Status	Date Introduced
S. 386	<a href="#">FERA</a>	Sen. Leahy (D-VT)	Improves enforcement of mortgage fraud, securities and commodities fraud, financial institutions fraud.	Signed by the president	5-Feb-09
H.R. 4173	<a href="#">Wall Street Reform and Consumer Protection Act of 2009</a>	Rep. Frank (D-MA)	Creates a Consumer Financial Protection Agency, a Financial Stability Council, ends public bailouts, reforms executive compensation, establishes central clearing for derivatives, strengthens regulation of securities markets, outlaws predatory lending, and requires registration of hedge funds	Signed by president	2-Dec-09
H.R. 3817	<a href="#">Investor Protection Act of 2009</a>	Rep. Kanjorski (D-PA)	To increase the SEC's authority to protect investors	Dead (Reported by committee)	15-Oct-09
H.R. 2623	<a href="#">To Amend the Federal Securities Law to Clarify and Expand the Definition of Certain Persons...</a>	Rep. McCarthy (R-CA)	To amend Federal securities law to clarify and expand the definitions of certain persons under those laws	Dead (Passed House)	21-May-09
H.R. 2947	<a href="#">Securities Law Technical Corrections Act of 2009</a>	Rep. Jenkins (R-KS)	Corrects federal securities laws to make amendments related to the repeal of the Public Utility Holding Company Act of 1935	Dead (Passed House)	18-Jun-09
H.R. 2798	<a href="#">Support Investment Protection for Customers Reform Act of 2009</a>	Rep. Arcuri (D-NY)	Increases securities protection coverage in the event of stolen or missing assets	Dead (Referred to committee)	10-Jun-09
H.R. 607	<a href="#">To direct the SEC to issue guidance on the interpretation of</a>	Rep. Miller (D-CA)	Directs SEC to provide guidance on the interpretation of fair accounting	Dead (Referred to committee)	16-Jan-09

	<a href="#">fair...</a>				
H.R. 1160	<a href="#">Money Market Fund Parity Act of 2009</a>	Rep. Meeks (D-NY)	Directs SEC to revise rules for money market funds for broker-dealer financing	Dead (Referred to committee)	24-Feb-09
H.R. 1181	<a href="#">To direct the SEC to establish a process by which...</a>	Rep. Ackerman (D-NY)	Directs SEC to establish a process by which asset-backed instruments can be deemed eligible for NRSRO ratings and an initial list of such eligible asset-backed instruments	Dead (Referred to committee)	25-Feb-09
H.R. 1406	<a href="#">Stock Market Recovery Act of 2009</a>	Rep. Kirk (R-IL)	Directs SEC to reinstate the uptick rule on short sales of securities	Dead (Referred to committee)	10-Mar-09
H.R. 1909	<a href="#">To direct the SEC to suspend application of mark-to-market accounting</a>	Rep. Cohen (D-TN)	Directs SEC to suspend application of mark-to-market accounting	Dead (Referred to committee)	2-Apr-09
H.R. 2622	<a href="#">Compliance, Examinations, and Inspections Restructuring Act of 2009</a>	Rep. McCarthy (R-CA)	Amends Securities Exchange Act of 1934 to establish rules for delegating compliance and inspection authority to divisions in SEC	Dead (Referred to committee)	21-May-09
H.R. 2873	<a href="#">Enhanced SEC Enforcement Authority Act</a>	Rep. Campbell (R-CA)	Increases enforcement powers of SEC	Dead (Passed House)	15-Jun-09
H.R. 302	<a href="#">To require the SEC to reinstate the uptick rule...</a>	Rep. Ackerman (D-NY)	Requires SEC to reinstate uptick rule on short sale of securities	Dead (Referred to committee)	8-Jan-09