

Anita K. Krug

Howard Rice Nemerovski Canady Falk & Rabkin, P.C.
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EDUCATION

Ph.D., Harvard University, Political Science, June 2000

Major Field: Political Theory.

Minor Fields: American Politics, Comparative Politics.

Dissertation: *Groups, Rights, and Liberalism: Historical Insights in a Contemporary Context.*

J.D., Harvard Law School, *cum laude*, June 1997

Harvard Law Review, Articles Editor.

A.M., Harvard University, Political Science, November 1996

University of Cologne, Cologne, Germany, Fulbright Scholar, 1991 – 1992

Paper: *Local Political Participation of Foreign Nationals in Germany.*

Coursework: Political Science and Sociology.

B.A., Kansas State University, Political Science and Economics, *summa cum laude*, May 1991

Justus Liebig University, Giessen, Germany, 1989 – 1990

Coursework: Political Theory, Comparative Politics, and German Politics.

CLERKSHIP: Judge Norman H. Stahl, U.S. Court of Appeals for the First Circuit. *Sept. 1997 – Aug. 1998.*

CURRENT PROFESSIONAL ACTIVITIES AND PROJECTS

Howard Rice Nemerovski Canady Falk & Rabkin, P.C., San Francisco, California.

As a partner in the business department, advise and represent investment advisory firms on securities regulation, structuring hedge funds and private equity funds, and negotiating investment advisory arrangements. *Partner, Spring 2007 – present; Associate, Spring 2002 – Spring 2007.*

Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law, Berkeley, California.

As a research fellow in law and finance, research and write about regulatory developments relating to investment advisers, hedge funds and other private funds, registered investment companies, investor protection, and systemic risk mitigation. *Winter 2008 – present.*

PUBLICATIONS

Anita K. Krug, *Financial Regulatory Reform and Private Funds*, Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law (White Paper, July 13, 2009).

Anita K. Krug, *Regulatory Response to Madoff*, Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law (White Paper, Mar. 2009).

PUBLICATIONS (cont.)

Anita K. Krug, *Hedge Fund Transparency Act of 2009*, Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law (White Paper, Feb. 2009).

Anita K. Krug, *Bear Pair*, THE DEAL, Aug. 8, 2008.

Anita K. Krug, *Come Together: The Convergence of the Business Missions of PE and Hedge Funds*, 18 THE DAILY DEAL, Dec. 17, 2007, at 13.

Peter M. Rosenblum & Anita K. Krug, *A Brief Outline of Certain Current Developments Affecting Managers of Hedge Funds* (American Law Institute | American Bar Association Course Materials), Oct. 2007.

William F. Lee & Anita K. Krug, *Still Adjusting to Markman: A Prescription for the Timing of Claim Construction Hearings*, 13 HARV. J.L. & TECH. 55 (1999), reprinted in 2001 INTELL. PROP. L. REV. (2002).

William F. Lee, James C. Burling & Anita K. Krug, *The Antitrust Duty to Deal and Intellectual Property Rights*, 24 J. CORP. L. 527 (1999).

Note, *The Myth of Context in Politics and Law*, 110 HARV. L. REV. 1292 (1997).

Recent Legislation, *Florida Private Property Rights Protection Act*, 109 HARV. L. REV. 542 (1995).

David M. Lindley & Anita K. Krug, *Emerging Issues in CFTC Regulation*, FIN. DERIVATIVES & RISK MGMT., Nov. 1995, at 93.

Anita Krug, Book Note, *Human Rights in the World Community: Issues and Action*, 6 HARV. HUM. RTS. J. 272 (1993).

TEACHING EXPERIENCE

Adjunct Professor, University of California, Hastings College of the Law.

“Hedge Funds and Investment Management Law.” *Fall 2009*.

Lecturer, University of California, Berkeley School of Law.

“Hedge Funds and Investment Management Law.” Created and taught course on U.S. law and regulation relating to hedge funds (and other private investment funds) and hedge fund managers. *Fall 2008*.

Faculty Member, American Law Institute | American Bar Association Program, “Investment Management Products: Mutual Funds and Beyond,” Washington, D.C.

“Hedge Funds.” Co-faculty member for course on structuring hedge funds and current regulatory events. *Nov. 2007*.

Teaching Fellow, Harvard University Core Program.

“Justice.” Teaching Fellow for Professor Michael Sandel’s lecture course on moral reasoning. *Fall 1996*.

TEACHING EXPERIENCE (cont.)

Teaching Fellow, Harvard University Department of Government.

“Constitutional Interpretation.” Teaching Fellow for Professor Keith Bybee’s lecture course on the Supreme Court’s early and evolving interpretation of the Constitution. *Spring 1996*.

“Liberalism and Conservatism in American Political Thought.” Teaching Fellow for Professors Michael Sandel’s and Harvey Mansfield’s lecture course on American political theory. *Fall 1995*.

Senior Thesis Advisor, Harvard University.

Advisor to Jonathan Goldin for his thesis on the Supreme Court’s opinions on racial identity. *Fall 1999 – Spring 2000*.

Advisor to Erika Janes for her thesis on Justice Brennan’s constitutional theory and approach to the death penalty. *Fall 1999 – Spring 2000*.

Advisor to Eli Poliakoff for his thesis on the reasons behind the success of the Charleston Longshoremans’ Union. *Fall 1999 – Spring 2000*.

Advisor to Diane Marks for her thesis on racial demographics and change of venue decisions. *Fall 1998 – Spring 1999*.

SELECTED PANELS AND PRESENTATIONS

Hedge Funds: Regulatory Overview and Outlook, Presentation to Summer LLM Program Participants, University of California, Berkeley School of Law, July 23, 2009.

Critical Legal, Tax, Accounting, and Regulatory Updates (with Anthony Hassan, Vincent Calcagno, and Geoff Haynes), Bay Area Hedge Fund Roundtable, May 6, 2009.

Alternative Investments: Legal Issues and Trends (with Mark Whatley and Ben Berk), Howard Rice Panel Discussion, Apr. 16, 2009.

Financial Crisis Panel Discussion (with Professors Reuel Schiller and Joel Paul), University of California, Hastings College of the Law, Oct. 6, 2008.

Tax and Regulatory Issues Relating to Hedge Funds (with Ben Berk and Gary P. Kaplan), Harb, Levy & Weiland Lunch Seminar, Mar. 11, 2007.

Overview and Status of Regulatory Initiatives (with William Mulligan), Infovest21 Seminar for Hedge Fund Professionals, Jan. 11, 2007.

The Investment Management & Private Funds Practice Area (with André W. Brewster), Howard Rice Litigation Department Meeting, July 2006.

Application of Section 13(d) to Swaps and Other Derivatives, Howard Rice Financial Services Practice Group Meeting, June 2006.

Broker-Dealer Registration Issues Relating to Private Fund Finder Agreements, Howard Rice Financial Services Practice Group Meeting, Feb. 2006.

SELECTED PANELS AND PRESENTATIONS (cont.)

Liability Under the “Policies and Procedures” Provisions of the Investment Advisers Act, Howard Rice Financial Services Practice Group Meeting, Aug. 2005.

The New Proxy Voting Rule under the Investment Advisers Act, Howard Rice Private Funds Practice Group Meeting, Fall 2003.

Credit Default Swaps, Howard Rice Financial Services Practice Group Meeting, July 2002.

PREVIOUS PROFESSIONAL EXPERIENCE

Foley, Hoag & Eliot, LLP, Boston, Massachusetts.

As an associate in the business department, counseled investment advisory firms on structuring and forming hedge funds, securities law compliance, and other regulatory matters. *Fall 2000 – Spring 2002.*

Hale and Dorr LLP, Boston, Massachusetts.

Worked part-time (while completing dissertation) as an attorney in the litigation department, researching legal issues, drafting memoranda of law, and writing articles and essays on selected topics in antitrust and intellectual property law. *Fall 1998 – Fall 2000.*

Cravath, Swaine & Moore, New York, New York.

As a summer associate in the litigation department, researched issues of law and wrote legal memoranda and briefs. *Summer 1996.*

Zagreb Human Rights Group, Zagreb, Croatia.

Worked with the Zagreb office of the United Nations High Commissioner on Refugees on refugee education project (aimed to increase Bosnian refugees’ awareness of their rights). *Summer 1994.*

Pestalozzi, Gmuer & Patry, Zurich, Switzerland.

As a summer associate, researched issues in contract law, corporation law, employment law, and legislative history and translated contracts and other documents. *Summer 1994.*

All India Democratic Women’s Association, New Delhi, India.

In the legal division, conducted research for cases involving domestic violence, divorce, and child custody; researched the legal status of Indian women and prospects for legal reform. *Summer 1993.*

GRANTS AND AWARDS

Mark DeWolfe Howe Fellowship in Legal History and Civil Rights, Harvard Law School, 1998

Jacob K. Javits Fellowship (U.S. Department of Education), 1994

Reginald F. Lewis International Human Rights Fellowship, Harvard Law School, 1993

Fulbright Scholarship (University of Cologne, Cologne, Germany), 1991 – 1992

GRANTS AND AWARDS (cont.)

Kennedy Fellowship, Harvard Kennedy School of Government (declined), 1991

Phi Kappa Phi National Fellowship, 1991

Phi Beta Kappa, 1991

Outstanding Student in Political Science Scholarship Award, Kansas State University, 1991

Delehanty Award for Excellence in Economics, Kansas State University, 1991

Truman Scholarship Program National Finalist, 1989

KSU International Study Scholarship (Justus Liebig University, Giessen, Germany), Kansas State University, 1989

Foundation Scholarship, Kansas State University, 1987 – 1991

Dane G. Hansen Foundation Leader of Tomorrow Scholarship Award, 1987 – 1991